



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045

Filed: December 13, 1999

Confirmation No. 1941

Inventors:

Nesmith et al.

Title: COMPUTERIZED
METHOD AND SYSTEM
FOR TRADING OF
SECURITIES

§ Examiner: Ella Colbert
§ Art Unit: 3624
§ Atty. Dkt. No: 5226-00600

CERTIFICATE OF MAILING
UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT: December 21, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:
Commissioner for Patents
Alexandria VA 22313-1450

B. Gail Ballard
B. Gail Ballard

AMENDMENT; RESPONSE TO OFFICE ACTION MAILED October 19, 2004

Mail-Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313

Sir:

In response to the Office Action of October 19, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks/Arguments begin on page 16 of this paper.